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DATE OF BIRTH: 11-26-1972

CITIZENSHIP: France, US Permanent Resident

PROFESSIONAL EXPERIENCE:

July 2005- present: Professor of Economics, University of California at Berkeley

July 2003- June 2005: Associate Professor of Economics, University of California at Berkeley

July 2002- June 2003: Assistant Professor of Economics, University of California at Berkeley

July 1999- June 2002: Assistant Professor of Economics, Harvard University

EDUCATION:

1999 Ph.D. in Economics, MIT
Thesis title: *Essays in the Economics of Income Taxation*

1996 M.D. in Economics at DELTA, Paris

1994 B.A. in Mathematics at Ecole Normale Supérieure, 45 Rue d'Ulm, Paris

VISITING POSITIONS:

September 2009- December 2009: Visiting Professor, Department of Economics, MIT

September 2007- June 2008: Fellow, Center for Advanced Study in the Behavioral Sciences, Stanford

September 2004- June 2005: National Fellow, Hoover Institution, Stanford University

July 2001- June 2002: Visiting Assistant Professor, University of California at Berkeley

PROFESSIONAL AFFILIATIONS:

2009-present: Co-Director of Public Policy Program, CEPR

2003-present: Research Associate, Public Economics Program, NBER

2004-present: Research Fellow, Public Policy Program, CEPR

2005-present: Research Fellow, CESifo

2009-present: Research Fellow, IZA

1999-2003: Faculty Research Fellow, Public Economics Group, NBER

2001-2004: Research Affiliate, Public Policy Group, CEPR

2002-2005: Research Affiliate, CESifo

EDITORIAL RESPONSIBILITIES:

2006-present: Editor, Journal of Public Economics

2004-present: Associate Editor, International Tax and Public Finance

2009-present: Associate Editor, Quantitative Economics

2003-2006: Co-Editor, Journal of Public Economics

2004-2005: Associate Editor, Economic Journal

2002-2003: Associate Editor, Journal of Public Economics

HONORS AND AWARDS:

- 2009 John Bates Clark Medal from the American Economic Association
- 2007 TIAA-CREF Paul A. Samuelson Certificate of Excellence (runner-up prize for best publication of the year on financial security) joint with Esther Duflo, William Gale, Jeffrey Liebman, and Peter Orszag for our 2006 publication "Saving Incentives for Low- and Middle-Income Families: Evidence from a Field Experiment with H&R Block"
- 2006 Purvis Memorial Prize of the Canadian Economic Association (best publication of the year on the Canadian economy) joint with Michael Veall for our 2005 publication, "The Evolution of High Incomes in Northern America: Lessons from Canadian Evidence"
- 2006 Nominated for the Best Young French Economist Prize, Le Monde, Cercle des Economistes
- 2003 Alfred P. Sloan Research Fellowship
- 2002 Best Graduate Teacher Award (UC Berkeley Econ Graduate Students Association)
- 2002 CESifo prize in Public Economics for best paper by a young scholar: "Optimal Income Transfer Programs: Intensive Versus Extensive Labor Supply Responses"
- 1999 National Tax Association dissertation prize
- 1999 Review of Economic Studies Tour
- 1998 Alfred P. Sloan Doctoral Dissertation Fellowship

RESEARCH GRANTS:

- 2009-2012 NSF grant SES-0850631:
"Behavioral Responses to Taxation: Evidence from Field Experiments and Tax Policy Variation"
- 2006-2009 NSF grant SES-0617737 (with Wojciech Kopczuk):
"Earnings Inequality and Mobility in the United States, 1937-2004: Evidence from Social Security Administration Data"
- 2004-2005 NBER-SSA Retirement Research Center grant (with Jeffrey Liebman):
"Earnings Responses to Raising the Social Security Taxable Maximum"
- 2002-2007 NSF CAREER grant SES-0134946:
"The Evolution of Income Inequality During the 20th Century: Effects of Taxation and Policy Consequences"
- 2000 NSF small research grant SES-0078535 (with Esther Duflo):
"The Determinants of 401(k) Participation: The Role of Economic Incentives, Plan Design, Financial Education, and Peer Effects"

TEACHING:

Graduate Courses: Public Economics, Mathematics for Economists
Undergraduate Courses: Public Economics

REFEREED PUBLICATIONS:

1. Saez, Emmanuel "Using Elasticities to Derive Optimal Income Tax Rates", Review of Economic Studies, 68, 2001, 205-229.
2. Saez, Emmanuel "The Desirability of Commodity Taxation under Nonlinear Income Taxation and Heterogeneous Tastes", Journal of Public Economics, 83, 2002, 217-230.

3. Gruber, Jonathan and Emmanuel Saez “The Elasticity of Taxable Income: Evidence and Implications”, Journal of Public Economics, 84, 2002, 1-32 (longer version: NBER Working Paper No. 7512, January 2000).
4. Saez, Emmanuel “Optimal Income Transfer Programs: Intensive Versus Extensive Labor Supply Responses”, Quarterly Journal of Economics, 117(3), 2002, 1039-1073.
5. Duflo, Esther, and Emmanuel Saez “Participation and Investment Decisions in a Retirement Plan: The Influence of Colleagues' Choices”, Journal of Public Economics, 85, 2002, 121-148.
6. Piketty, Thomas and Emmanuel Saez “Income Inequality in the United States, 1913-1998”, Quarterly Journal of Economics, 118(1), 2003, 1-39, **series updated to 2006 in July 2008**.
7. Saez, Emmanuel “The Effect of Marginal Tax Rates on Income: A Panel Study of Bracket Creep”, Journal of Public Economics, 87(5-6), 2003, 1231-1258.
8. Duflo, Esther, and Emmanuel Saez “The Role of Information and Social Interactions in Retirement Plans Decisions: Evidence from a Randomized Experiment”, Quarterly Journal of Economics, 118(3), 2003, 815-842.
9. Saez, Emmanuel “Direct or Indirect Tax Instruments for Redistribution: Short-Run versus Long-Run”, Journal of Public Economics, 88(3-4), 2004, 503-518.
10. Kopczuk, Wojciech, and Emmanuel Saez “Top Wealth Shares in the United States, 1916-2000: Evidence from Estate Tax Returns”, National Tax Journal, 57(2), Part 2, June 2004, 445-487. (Longer version: NBER Working Paper No. 10399, March 2004).
11. Saez, Emmanuel “The Optimal Treatment of Tax Expenditures”, Journal of Public Economics, 88(12), 2004, 2657-2684.
12. Saez, Emmanuel and Michael Veall “The Evolution of High Incomes in Northern America: Lessons from Canadian Evidence”, American Economic Review, 95(3), 2005, 831-849.
13. Chetty, Raj and Emmanuel Saez “Dividend Taxes and Corporate Behavior: Evidence from the 2003 Dividend Tax Cut”, Quarterly Journal of Economics, 120(3), 2005, 791-833 (longer version NBER Working Paper No. 10841, October 2004; preliminary version NBER Working Paper No. 10572, June 2004).
14. Duflo, Esther, William Gale, Jeffrey Liebman, Peter Orszag, and Emmanuel Saez “Saving Incentives for Low- and Middle-Income Families: Evidence from a Field Experiment with H&R Block”, Quarterly Journal of Economics, 121(4), 2006, 1311-1346.
15. Immerwoll, Herwig, Henrik Kleven, Claus Kreiner, and Emmanuel Saez “Welfare Reform in European Countries: A Micro-Simulation Analysis”, Economic Journal, 117(1), 2007, 1-44.
16. Moriguchi, Chiaki and Emmanuel Saez “The Evolution of Income Concentration in Japan, 1885-2005: Evidence from Income Tax Statistics”, Review of Economics and Statistics 90(4), 2008, 713-734.
17. Saez, Emmanuel “Details Matter: The impact of Presentation and Information in the Take-up of Financial Incentives for Retirement Savings”, American Economic Journal: Economic Policy 1(1), 2009, 204-228. (Earlier version CEPR Discussion Paper No. 6386, July 2007).

18. Kleven, Henrik, Claus Kreiner, and Emmanuel Saez “The Optimal Income Taxation of Couples,” Econometrica 77(2), 2009, 537-560 (longer version CESifo Working Paper, No. 2092, September 2007, older version NBER Working Paper No. 12685, November 2006).

19. Alvaredo, Facundo and Emmanuel Saez “Income and Wealth Concentration in Spain in a Historical and Fiscal Perspective”, Journal of the European Economic Association 7(5), 2009.

20. Kopczuk, Wojciech, Emmanuel Saez, and Jae Song “Earnings Inequality and Mobility in the United States: Evidence from Social Security Data since 1937”, March 2009 forthcoming Quarterly Journal of Economics, (older and longer version NBER Working Paper No. 13345, August 2007).

OTHER PUBLICATIONS:

1. Saez, Emmanuel “Optimal Income Tax Rates and Elasticities: A Summary”, National Tax Association Proceedings, 92nd Annual Conference on Taxation 1999, 64-71.

2. Piketty, Thomas and Emmanuel Saez “Inkomstskillnader i USA, 1913-1998”, Ekonomisk Debatt, 30, 1, 2002, 15-31. (in Swedish)

3. Duflo Esther, and Emmanuel Saez “Implications of Information and Social Interactions for Retirement Saving Decisions”, in O. Mitchell and S. Utkus, eds., Pension Design and Structure: New Lessons from Behavioral Finance. Pension Research Council. (Oxford, UK: Oxford University Press), 2004.

4. Saez, Emmanuel “Reported Incomes and Marginal Income Tax Rates, 1960-2000: Evidence and Policy Implications”, Tax Policy and the Economy, ed. James Poterba, (Cambridge: MIT Press), Volume 18, 2004.

5. Saez, Emmanuel “Top Incomes in the United States and Canada over the Twentieth Century”, Journal of the European Economic Association, Paper and Proceedings, 3(2-3), 2005, 402-411.

6. Saez, Emmanuel “Income Concentration in a Historical and International Perspective”, in eds. Alan Auerbach, David Card, John Quigley, Public Policy and Income Distribution, (New York: Russell Sage Foundation), 2006, 221-258.

7. Saez, Emmanuel “Redistribution Toward Low Incomes in Richer Countries”, in A. Banerjee, R. Benabou, and D. Mookherjee eds. Understanding Poverty, (Oxford: Oxford University Press), 2006, 187-201.

8. Piketty, Thomas and Emmanuel Saez “The Evolution of Top Incomes: A Historical and International Perspective”, American Economic Review: Papers and Proceedings, 96(2), May 2006, 200-205.

Reprinted in Inequalities and Societies Reader, First Edition, W.W. Norton & Company, New York, February 2009.

9. Chetty, Raj and Emmanuel Saez “The Effect of the 2003 Dividend Tax Cut on Corporate Behavior: Interpreting the Evidence”, American Economic Review: Papers and Proceedings, 96(2), May 2006, 124-129.

10. Piketty, Thomas and Emmanuel Saez “How Progressive is the U.S. Federal Tax System? A Historical and International Perspective”, Journal of Economic Perspectives, 21(1), Winter 2007, 3-24.

11. Chetty, Raj, Joseph Rosenberg, and Emmanuel Saez “The Effects of Taxes on Market Responses to Dividend Announcements and Payments: What Can we Learn from the 2003 Dividend Tax Cut?”, in A.

Auerbach and J. Slemrod, eds. Taxing Corporate Income in the 21st Century, Cambridge: Cambridge University Press, 2007, 1-32.

12. Piketty, Thomas and Emmanuel Saez “Income Inequality in the United States, 1913-2002”, Chapter 5 in A. B. Atkinson and T. Piketty, eds. Top Incomes over the Twentieth Century: A Contrast Between European and English Speaking Countries, Oxford: Oxford University Press, 2007, 141-225 (longer and updated version of refereed publication 6).

13. Saez, Emmanuel and Michael Veall “The Evolution of High Incomes in Canada, 1920-2000”, Chapter 6 in A. B. Atkinson and T. Piketty, eds. Top Incomes over the Twentieth Century: A Contrast Between European and English Speaking Countries, Oxford: Oxford University Press, 2007, 226-308 (longer version of refereed publication 12).

14. Dell, Fabien, Thomas Piketty, and Emmanuel Saez “The Evolution of Income and Wealth Concentration in Switzerland over the 20th Century”, Chapter 11 in A. B. Atkinson and T. Piketty, eds. Top Incomes over the Twentieth Century: A Contrast Between European and English Speaking Countries, Oxford: Oxford University Press, 2007, 472-500.

15. Duflo, Esther, William Gale, Jeffrey Liebman, Peter Orszag, and Emmanuel Saez “Savings Incentives for Low- and Moderate-Income Families in the United States: Why is the Saver's Credit Not More Effective?”, Journal of the European Economic Association, Paper and Proceedings, 5(2-3), 2007, 647-661.

16. Brewer, Michael, Emmanuel Saez, and Andrew Shephard “Means Testing and Tax Rates on Earnings”, IFS Working Paper, April 2008, prepared for The Mirrlees Review: Reforming the Tax System for the 21st Century, Oxford University Press, forthcoming 2009.

17. Moriguchi, Chiaki and Emmanuel Saez “The Evolution of Income Concentration in Japan, 1885-2005: Evidence from Income Tax Statistics”, Chapter 3 in A. B. Atkinson and T. Piketty, eds. Top Incomes: A Global Perspective, Oxford: Oxford University Press, forthcoming 2010 (longer version of refereed publication 16).

18. Alvaredo, Facundo and Emmanuel Saez “Income and Wealth Concentration in Spain in a Historical and Fiscal Perspective”, Chapter 10 in A. B. Atkinson and T. Piketty, eds. Top Incomes: A Global Perspective, Oxford: Oxford University Press, forthcoming 2010 (longer version of refereed publication 19).

19. Atkinson, Anthony, Thomas Piketty, and Emmanuel Saez “Top Incomes in the Long-run of History”, draft, April 2009, Chapter 13 in A. B. Atkinson and T. Piketty, eds. Top Incomes: A Global Perspective, Oxford: Oxford University Press, forthcoming 2010.

BOOK REVIEWS, COMMENTS, DISCUSSIONS, SHORTER PIECES:

1. Book review of Diamond, Peter (2003), Taxation, Incomplete Markets, and Social Security, Cambridge: MIT Press, Journal of Economic Literature, 42, June 2004, 529-530.

2. Comments on Douglas Bernheim and Antonio Rangel “Behavioral Public Economics: Welfare and Policy Analysis with Nonstandard Decision-Makers”, in Peter Diamond and Hannu Vartiainen Eds., Behavioral Economics and Its Applications, Princeton and Oxford: Princeton University Press, 2006, 81-84.

3. Comments on Thomas J. Kniesner and James P. Ziliak, "Evidence of Tax-Induced Individual Behavioral Responses", in John Diamond and William Zodrow (eds.), Fundamental Tax Reform: Issue, Choices, and Implications, Cambridge MA: MIT Press, 2008, 413-416.
4. "Striking It Richer: The Evolution of Top Incomes in the United States", Pathways Magazine, Stanford Center for the Study of Poverty and Inequality, Winter 2008, 6-7.

WORKING PAPERS:

Kleven, Henrik, Claus Kreiner, and Emmanuel Saez "Why Can Modern Governments Tax So Much? An Agency Model of Firms as Fiscal Intermediaries", preliminary draft May 2009.

Kleven, Henrik, Martin Knudsen, Claus Kreiner, and Soren Pedersen, and Emmanuel Saez "An Experimental Evaluation of Tax Evasion and Tax Enforcement in Denmark", draft in preparation.

Saez, Emmanuel, Manos Matsaganis and Panos Tsakloglou "The Effects of Taxes on Earnings: Evidence from a Cohort Based Payroll Tax Reform in Greece", draft in preparation.

Saez, Emmanuel "Do Tax Filers Bunch at Kink Points? Evidence, Elasticity Estimation, and Saliency Effects", revised April 2009 (older versions: NBER-TAPES Conference June 2002 and NBER Working Paper, No. 7366, September 1999)

Saez, Emmanuel, Joel Slemrod, and Seth Giertz "The Elasticity of Taxable Income with Respect to Marginal Tax Rates: A Critical Review", NBER Working paper No. 15012, May 2009, submitted to the Journal of Economic Literature.

Chetty, Raj and Emmanuel Saez "Teaching the Tax Code: Earnings Responses to an Experiment with EITC Recipients", NBER Working Paper No. 14836, April 2009.

Lee, David and Emmanuel Saez "Optimal Minimum Wage in Competitive Labor Markets," NBER Working Paper No. 14320, September 2008, revised February 2009.

Chetty, Raj and Emmanuel Saez "Optimal Taxation and Social Insurance with Endogenous Private Insurance", NBER Working Paper No. 14403, October 2008, revision requested from the American Economic Journal: Economic Policy.

Chetty, Raj and Emmanuel Saez "An Agency Theory of Dividend Taxation," NBER Working Paper No. 13538, October 2007, revision requested from the American Economic Journal: Economic Policy.

Liebman, Jeffrey and Emmanuel Saez "Earnings Responses to Increases in Payroll Taxes", preliminary version, October 2006.

Saez, Emmanuel "Optimal Progressive Capital Income Taxes in the Infinite Horizon Model", NBER Working Paper, No. 9046, July 2002.

Saez, Emmanuel "Responses to Federal Income Tax Changes in the Inter-War Period", mimeo Harvard University, 1999.

Saez, Emmanuel "A Characterization of the Income Tax Schedule Minimizing Deadweight Burden", mimeo Harvard University, 1999.

CONFERENCES ORGANIZED:

Co-organizer (with Antonio Rangel) of Stanford Institute for Theoretical Economics on Public Economics Theory (Theory of Taxation), July 2000

Co-organizer (with Doug Bernheim and Antonio Rangel) of Stanford Institute for Theoretical Economics conference on Public Economics Theory (Political Economy), July 2001

Co-organizer (with Doug Bernheim and Antonio Rangel) of Stanford Institute for Theoretical Economics conference on Public Economics Theory (Dynamic Fiscal Policy), July 2002

Co-organizer (with Naomi Lamoreaux, Peter Lindert, Jean-Laurent Rosenthal, and Kenneth Sokoloff) of All-UC Economic History Conference on the New History of Economic Inequality, April 2004

Co-organizer (with V.V. Chari) of NBER Summer Institute Conference on Taxation, August 2004

Co-organizer (with Raj Chetty) of NBER Public Economics Conference, October 2005

Co-organizer (with Raj Chetty) of NBER Public Economics University Research Conference, Dec. 2006

Co-organizer (with Raj Chetty) of NBER Public Economics Conference, November 2008

Co-organizer (with Claus Kreiner and Thomas Piketty) of CEPR Public Policy Symposium, June 2009

PROGRAM COMMITTEE:

2003 Member of the Theoretical and Applied Economics Program Committee for the 58th European Meeting of the Econometric Society, Stockholm

2006 Member of the Program Committee for the North American Summer meetings of the Econometric Society, Minneapolis

2008 Member of the Program Committee for the American Economic Association meetings, San Francisco